

S-9-05

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

MAY 06 2005

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

8156 7590 02/08/2005

JOHN P. O'BANION  
 O'BANION & RITCHIE LLP  
 400 CAPITOL MALL SUITE 1550  
 SACRAMENTO, CA 95814

05/09/2005 SFELEKE2 00000026 10816733

01 FC:1504	300.00 OP
02 FC:2501	700.00 OP
03 FC:8001	30.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/816,733	04/02/2004	Kenneth Rose	ROS5313.01A2	1382
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TITLE OF INVENTION: SCALABLE PREDICTIVE CODING METHOD AND APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	05/09/2005
EXAMINER		ART UNIT		CLASS-SUBCLASS	
DANG, DUY M		2621		382-238000	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.	1 John P. O'Banion
<input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.		2 _____
<input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.		3 _____

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

## (A) NAME OF ASSIGNEE

VOICECRAFT, INC.

## (B) RESIDENCE: (CITY and STATE OR COUNTRY)

SANTA BARBARA, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

## 4a. The following fee(s) are enclosed:

Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies 10

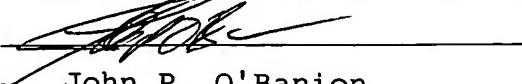
## 4b. Payment of Fee(s):

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 The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature 

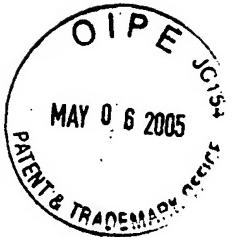
Date 5/6/05

Typed or printed name John P. O'Banion

Registration No. 33201

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the enclosed:

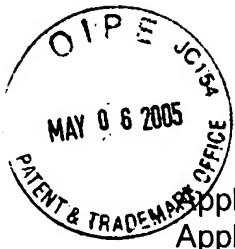
### Part B - Fee(s) Transmittal (PTOL-85)

is being deposited with the United States Postal Service on 06 MAY 2005 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV352298530US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JOHN P. O'BANION

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A handwritten signature in black ink, appearing to read "JOHN P. O'BANION", is written over a horizontal line. Below the signature, another horizontal line contains the text "(Signature of person mailing paper)".



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/816,733 Confirmation No.: 1382  
Applicant : KENNETH ROSE  
Title : SCALABLE PREDICTIVE CODING METHOD AND APPARATUS  
Filed : 04/02/2004  
TC/A.U. : 2621  
Examiner : DANG, DUY M.  
Docket No. : ROS5313.01A2  
Cust. No. : 8156

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22213-1450

ISSUE FEE TRANSMITTAL LETTER  
(Small Entity)

Dear Sir:

The following items are enclosed:

1. Part B - Fee(s) Transmittal (PTOL-85);
2. Check in the amount of \$ 1030  
 \$700 issue fee  
 \$300 publication fee  
 \$ 30 advance order fee (10 copies)

Please charge any fee deficiency to Deposit Account No. 07-1137.

Date: 5/6/05

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John P. O'Banion".

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010



## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the enclosed:

### Issue Fee Transmittal Letter

is being deposited with the United States Postal Service on 06 MAY 2005 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV352298530US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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